



Risk profiling process for the client

Full Name :-

Mobile No. :-

City :-

State :-

Please Select below mentioned question's answer.

1) What is your Age Group ?

- 18-30
- 31-40
- 41-50
- 51-60
- Above 60

2) What is your Investment Goal

- Capital Appreciation
- Regular Income
- Capital Appreciation and Regular Income

3) What is your Investment Amount

- Below 1 lac
- 1-2 lac
- 2-5 lac
- Above 5 lac

4) What is your Gross Annual Income :-

- Below 1 lac
- 1-3 lac
- 3-5 lac
- 5-10 lac
- 10-25 lac
- Above 25 lac

5) What is your Preferred Investment type

- Intraday
- Short term Positional
- Long term Positional

6) What is your Sources of Income

A. Primary Source

- Salary
- Business

B. Secondary Source

- Royalties
- Rental
- Dividend
- Others (please specify)

7) What is your Trading Experience

- Below 1 years
- 1-3 years
- 3-5 years
- Above 5 years

8) What is Market Value of portfolio held according to you

- Below 1 lac
- 1-2 lac
- 2-5 lac
- Above 5 lac

9) What is your Experience in market products

- **Commodity**
 - MCX
 - NCDEX
- **Stock**
 - BSE
 - NSE
- **Derivatives Stocks**
- **All**

10) How many dependents do you financially support ?

- None
- Between 1-2
- Between 2-5
- Above 5

11) What is the size of your emergency fund ?

- do not have
- Below 1 month income
- 1-3 months income
- 3-6 months income
- More then 6 months income

12) What is your experience with Share/Commodity Market ?

- Extensive experience
- Moderate experience
- Very less experience
- No experience

13) What is your experience with investments in past ?

- Very Good
- Good
- Moderate
- Bad
- Poor

14) When market is not performing well do you prefer to buy risky investments and sell less risky investments ?

- Strongly prefer
- Prefer
- Indifferent
- Do not prefer
- Strongly do not prefer

15) Risk Tolerance

- High
- Medium
- Low

16) What percentage of monthly income is allocated to pay off debt [all EMIs]?

- None
- Between 0% -20%
- Between 20% - 35%
- Between 35% - 50%
- Above 50%

17) Occupation (please select the appropriate)

Private sector service,	Public sector,
Government sector,	Business ,
Professional,	Agriculturist,
Retired,	Housewife,
Student,	Dealer,
Others	



18) Are you any of the following, or are directly or indirectly related to any of the following

- 1. Civil Servant,
- 2. Politician,
- 3. Current or former head of state,
- 4. Bureaucrat (Tax authorities, Foreign Services, IAS etc),
- Current or former MP/MLA/MLC,
- Connected to Media,
- Connected to any company/promoter group/ group of companies listed on any stock exchange

Name of the company

Any specific commodities or shares in which you have experience and are willing to trade, if yes

Name of the securities

Clients with Long term Investment goal or capital appreciation as investment objectives are not accepted.

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Date:/...../.....

Signature:

Note :- After filling your risk profiling form, please upload a scan copy here <http://capitalboosters.com/risk-profile/>